Systematic discovery of nonobvious human disease models through orthologous phenotypes

Kriston L. Mccarthy, Tae Joo Park, John O. Woods, Hye Ji Cha, John B. Wallingford, and Edward M. Marcotte

*Center for Systems and Synthetic Biology, Institute for Cellular and Molecular Biology, The Howard Hughes Medical Institute and Department of Molecular Cell and Developmental Biology, and Department of Chemistry and Biochemistry, University of Texas, Austin, TX 78712

Edited* by William H. Press, University of Texas, Austin, TX, and approved February 26, 2010 (received for review September 6, 2009)

Biologists have long used model organisms to study human diseases, particularly when the model bears a close resemblance to the disease. We present a method that quantitatively and systematically identifies nonobvious equivalences between mutant phenotypes in different species, based on overlapping sets of orthologous genes from human, mouse, yeast, worm, and plant (21,542 gene-phenotype associations). These orthologous phenotypes, or phenologs, predict unique genes associated with diseases. Our method suggests a yeast model for angiogenesis defects, a worm model for breast cancer, mouse models of autism, and a plant model for the neural crest defects associated with Waardenburg syndrome, among others. Using these models, we show that SOX13 regulates angiogenesis, and that SEC31 is a likely Waardenburg gene. Phenologs reveal functionally coherent, evolutionarily conserved gene networks—many predating the plant-animal divergence—capable of identifying candidate disease genes.

Biochemical and molecular functions of a given protein are generally conserved between organisms; this observation is fundamental to biological research. For example, in x-ray crystallography studies, one can often choose the organism from which the protein is most easily crystallized to facilitate the study of the protein’s biochemical function. On the other hand, even with a conserved gene, disruption of function may give rise to radically different phenotypic outcomes in different species. For example, mutating the human RB1 gene leads to retinoblastoma, a cancer of the retina, yet disrupting the nematode ortholog contributes to ectopic vulvae. These phenotypes are orthologous, as failure of equivalent genes (the Rb pathway)—performing conserved molecular functions but in different contexts—leads to different phenotypes in the different organisms (1, 2).

By quantifying the equivalence of mutational phenotypes between different organisms, we demonstrate that orthologous phenotypes may be found objectively, and that these phenologs suggest nonobvious models for human disease. We demonstrate the power of this approach by defining a unique yeast model that effectively predicts vertebrate angiogenesis genes and a plant model that predicts genes involved in vertebrate craniofacial defects that are associated with human congenital malformations.

Results and Discussion

Phenologs are identified by assembling known gene-phenotype associations for two organisms—considering only genes that are orthologous between the two organisms—and searching for interorganism phenotype pairs with significantly overlapping sets of genes. Significance is derived from three observations: (i) the total number of orthologs in organam 1 that give rise to phenotype 1; (ii) the total number of orthologs in organism 2 that give rise to phenotype 2; and (iii) the number of orthologs shared between these two sets. Formally, significance of a phenolog is calculated

The authors declare no conflict of interest.

*This Direct Submission article had a prearranged editor.

Ffreely available online through the PNAS open access option.

K.K.L.M. and T.J.P. contributed equally to this work.

The Howard Hughes Medical Institute and Department of Molecular Cell and Developmental Biology, and Department of Chemistry and Biochemistry, University of Texas, Austin, TX 78712

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www.pnas.org/cgi/doi/10.1073/pnas.0910200107

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This article contains supporting information online at www.pnas.org/cgi/content/full/0910200107/DCSupplemental.
from the hypergeometric probability of observing at least that many shared orthologs by chance (SI Materials and Methods).

Fig. 1C shows an example of these observations: the set of human-worm genes associated with X-linked breast/ovarian cancer in human significantly overlaps the set of genes whose mutations lead to a high frequency of male progeny in C. elegans. Male C. elegans are determined by a single X chromosome, hermaphrodites by two copies; thus, X chromosome nondisjunction leads to higher frequencies of males (6). Human breast/ovarian cancers may derive from X chromosome abnormalities (7), supporting the notion that this phenolog is identifying a useful disease model. Human orthologs of the 13 additional genes associated with this worm trait are thus reasonable candidate genes for involvement in breast/ovarian cancers. Nine of these genes were not yet linked to breast cancer in the databases we employed, but could be confirmed as such in the primary literature (Table S1). The remaining four genes (GCC2, PIGA, WDHD1, SEHIL) are thus implicated as breast cancer candidate genes. This rate of literature confirmation is 268-fold higher than that expected based on the current annotation rate in the Online Mendelian Inheritance in Man database (8), providing significant support for the utility of the worm phenotype to predict and suggest additional genes relevant to human breast cancer. (Note that the estimated fold-improvements we present here depend upon consistent literature curation and, thus, should be interpreted cautiously.)

**Systematic Discovery of Phenologs.** To systematically discover phenolog relationships, we collected from the literature a set of 1,923 human disease-gene associations (8), 74,250 mouse gene-phenotype associations (9), 27,065 C. elegans gene-phenotype associations (10), and 86,383 yeast gene-phenotype associations (11–14). The dataset spans >300 human diseases and >6,000 model organism phenotypes. With these data and the sets of orthologous gene relationships between each pair of organisms (15), we quantitatively examined the overlap of each interorganism phenotype pair, measuring their significance (Fig. 2A).

To correct for testing multiple hypotheses, we repeated all analyses 1,000 times with randomly permuted gene-phenotype associations, then calculated a false-discovery rate (FDR) based upon the observed null distribution of scores (Fig. 2B and Fig. S1). We observed 154 significant phenologs (5% FDR) between human diseases and yeast mutational phenotypes, 3,755 between human and mouse, 147 between mouse and worm, 119 between mouse and yeast, 206 between yeast and worm, and 9 between human and worm (the low number stems from limited mutational data in both species) (Fig. 2C).

Many specific, intuitively obvious, phenologs were revealed by this analysis, especially for the comparison of mouse and human phenotypes. Our analysis recapitulates many known mouse models of disease, providing an important positive control for our approach; Table 1 lists other specific examples of both known and previously undescribed equivalences. For example, one of the most significant phenologs identified between human disease and mouse mutational phenotypes is that linking Bardet-Biedl syndrome with four mouse traits, each of which relates to the disruption of ciliary function (abnormal brain ventricle/choroid plexus morphology,
small hippocampus, enlarged third ventricle, absent sperm flagella; all $P \leq 10^{-11}$, consistent with the apparent molecular defects in Bardet-Biedl syndrome (16). These phenologs thus suggest mouse ciliary defects provide a powerful model for studying human Bardet-Biedl syndrome, consistent with its recognized utility in this regard. Similarly, human cataracts are observed to be phenologous to mouse cataracts ($P \leq 10^{-25}$), human obesity is phenologous to mouse obesity ($P \leq 10^{-14}$), human deafness to mouse deafness ($P \leq 10^{-36}$), human retinitis to mouse retinal degeneration ($P \leq 10^{-36}$), and human goiter to mouse enlarged thyroid glands ($P \leq 10^{-28}$). Thus, the calculation of phenologs correctly identifies many known mouse models of human diseases and therefore has the potential to identify new models. More generally, cross-validated tests of phenologs confirm their strong predictability for genes associated with a substantial portion of human diseases (Fig. S2).

Much of the powerful conceptual framework established for determining homology and orthology for gene sequences may also be applicable to phenologs. For example, many of the algorithmic approaches used to identify orthologous genes might also be applied to the identification of phenologs. We explored this notion for one effective and easily automated approach to identify orthologous sequences, the reciprocal best hit (RBH) strategy. The RBH criterion holds that genes $X$ and $Y$ are orthologs if genes $X$ and $Y$ are the most similar to each other (reflexively) when searched genome-wide. We adapted the RBH criterion to the identification of phenologs to identify the most equivalent phenotypes between two organisms from among those assayed, by asking if the phenotypes have the most significant (lowest $P$ value) gene overlaps with each other when searched against all phenotypes in their respective organisms. Such analysis gives a second criterion for identifying phenologs, useful for legitimate phenologs with poor $P$ values because of limited phenotypic data sets. Examples of such RBH phenologs are indicated in Table 1.

**Mouse and Yeast Phenologs Predict Unique Angiogenesis Genes.** The power of the phenolog framework lies in discovery of nonobvious disease models. We identified just such a phenolog between abnormal angiogenesis in mutant mice and reduced growth rate of yeast deletion strains when grown in the hypercholesterolemia drug lovastatin (8 mouse, 67 yeast, 5 shared genes, $P \leq 10^{-4}$) (Fig. 3A). This observation suggests that budding yeast, which obviously lack blood vessels, could potentially model the genetics of mammalian vasculature formation and could be used to identify previously unrecognized genes affecting this process. We identified five shared genes between these processes. In yeast, they are the MAP kinases SLT2, PBS2, and HOG1; the calcineurin B gene CNBI, and the uncharacterized gene VPS70. Strikingly, mutations of their mouse orthologs (MAPK7, MAP2K1, MAPK14, PPP3R1, and the prostate-specific membrane antigen PSMA, respectively) all confer strong angiogenesis defects (e.g., MAPK7 deletion causes defective blood vessel and cardiac development) (17), and ablation in adult mice leads to leaky blood vessels (18). Similarly, PSMA regulates angiogenesis by modulating integrin signal transduction (19). Thus, this conserved set of genes was alternately repurposed to regulate cell wall stress and biogenesis in yeast cells (20) or to regulate proper formation and maintenance of blood vessels in mice.

Phenologs are most important for their ability to map gene-phenotype associations from one organism to another. In other words, phenologs suggest unobserved associations in one organism from observed associations in another (Fig. 1B, gene E and gene A'). Thus, examination of phenologs should substantially improve the rate of discovery of new genes over the rate expected by chance (here $\sim 1$ in 267, as estimated from the frequency of known angiogenesis genes). We might a priori expect a discovery rate comparable to the fraction of genes already verified by the phenolog: for the yeast angiogenesis model, a likely discovery rate of $\sim 1$ in 13, for a $\sim$21-fold higher discovery rate than random expectation. Our model therefore asserts that some of the 62 additional genes associated with lovastatin sensitivity in yeast would be predicted to be involved in angiogenesis. In fact, literature confirms three of the corresponding mouse genes to function in angiogenesis: the known target of lovastatin, HMG-CoA reductase, whose role in angiogenesis has been previously observed (21), the sirtnut SIRTI, whose disruption in zebrafish and mice caused defective blood vessel formation and blunted ischemia.
Angiogenesis abnormal in mice 3 5 62
Vertebrate orthologs are candidate angiogenesis genes
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Fig. 3. Example of a nonobvious disease model revealed by phenologs: a yeast model of angiogenesis. (A) The sets of 8 genes (considering only mouse/yeast orthologs) associated with mouse angiogenesis defects and 67 genes associated with yeast hypersensitivity to the hypercholesterolemia drug lovastatin significantly overlap, suggesting that the yeast gene set may predict angiogenesis genes. This prediction was verified in Xenopus embryos for eight genes (three from literature support and five based upon vascular expression patterns) (Fig. S3) and studied in detail for the case of the transcription factor sox13. (B) sox13 was expressed in developing Xenopus vasculature, as measured by in situ hybridization (also Fig. S4). (C) Morpholino (MO) knockdown of sox13 induces defects in vasculature, measured using in situ hybridization versus the vasculature markers erg (defects observed in 31 of 49 animals tested) or agtr1l (12 of 19 animals tested) (Fig S5). Such defects are rare in untreated control animals and five base pair mismatch morpholino (MM) knockdowns (0 of 22 control animals tested with agtr1l, 2 of 46 tested with erg; 5 of 28 MM animals tested with erg). (D) Hemorrhaging (white arrows) is apparent in stage 45 Xenopus embryos because of dysfunctional vasculature following sox13 morpholino knockdown (12 of 50 animals tested; two also showed unusually small hearts with defective morphology; Right: magnification of yellow boxed region in Middle), but is rare in control animals (1 of 45 tested untreated animals, 1 of 22 sox13-MM knockdown animals tested). All phenotypes in Figs. 3 and 4 are significantly different from controls by \( \chi^2 \) tests (P < 0.001). (E) In an in vitro human umbilical vein endothelial cell model of angiogenesis, knockdown of human SOX13 by siRNA disrupts tube formation (an in vitro model for capillary formation) to an extent comparable to knockdown of a known effector of angiogenesis (HOXA9) and significantly more than untreated cells or cells transfected with an off-target (scrambled) negative control siRNA. (Scale bar, 100 μm.)

Induced neovascularization (22), and the casein kinase CSNK2A1, inhibitors of which inhibit mouse retinal neovascularization (23). For phenologs to be useful, they must be able to predict entirely new gene-phenotype associations. To this end, we examined the 59 remaining genes not already associated with angiogenesis for conserved function using the frog Xenopus. Using whole-mount in situ hybridization, we examined mRNA expression of the Xenopus orthologs of these genes. Consistent with our hypothesis, we found that five of the genes (orthologs of SOX13, RAB11B, HMHA1, TCEA1/TCEA3, and TBL1XRI) were robustly and predominantly expressed in the developing vasculature (Fig. 3B and Fig. S3). These expression data suggest an overall discovery rate (8 of 62) of this phenolog 34 times higher than expected given the current annotation rate.

Finally, we directly assayed the role of one of these genes, SOX13, in angiogenesis. SOX13 is a transcription factor that is known to regulate T lymphocyte differentiation (24). The gene is expressed in mouse arterial walls (25), although it is also expressed in 30 of 45 assayed tissues in the National Center for Biotechnology Information Unigene Expressed Sequence Tag database. (The Xenopus ortholog of SOX13 was previously referred to as Xenopus xSOX12, but in accordance with recent Xenopus gene nomenclature guidelines, we refer to this gene now as sox13, Gene ID 397727.) We found this gene to be prominently expressed in the posterior cardinal veins, intersomitic veins, and developing heart, consistent with a role affecting developing vasculature (Fig. 3B and Fig. S4). We knocked down sox13 using microinjection of morpholino antisense oligonucleotides (MO) and assayed for vasculature defects by in situ hybridization to the vasculature reporter genes erg and agtr1l (previously called X-msr, Gene ID 399306). Knockdown of sox13 resulted in severe defects in vascular development, with morphant animals largely lacking intersomitic and posterior cardinal veins (Fig. 3C and Fig. S5). By later stages, hemorrhaging was apparent in morphants because of the defective vasculature (Fig. 3D).

This in vivo model for sox13 in Xenopus was then confirmed in humans using siRNA-induced knockdown of SOX13 in an in vitro human umbilical vein endothelial cell angiogenesis assay (Fig. 3E). Thus, SOX13 is a unique regulator of angiogenesis, discovered in the absence of any previous functional data linking it to angiogenesis, on the basis of orthology between mouse angiogenesis defects and yeast lovastatin sensitivity. Notably, these data also demonstrate that differentiation both of blood cells (24) and blood vessels are controlled by the same transcription factor.
and diverse human diseases, including cancers, peroxisomal disorders, such as Refsum disease and Zellweger syndrome, and a variety of birth defects (Table 1).

We observed a striking plant-human phenolog relating negative gravitropism defects to Waardenburg syndrome (Fig. 4B). This congenital syndrome stems from defects in the developing neural crest and is characterized by craniofacial dysmorphism, abnormal pigmentation, and hearing loss [in fact, it accounts for 2–5% of cases of human deafness (27)]. In particular, this phenolog suggested that a set of three vesicle trafficking genes involved in directing plant growth in response to gravitational cues might also serve to direct neural crest cell migration and differentiation in developing animal embryos.

Encouragingly, one of the identified proteins (STX12) is known to interact with the protein encoded by the pallid gene in mice (28), whose mutational phenotypes, including pigmentation and ear defects, are consistent with Waardenburg syndrome (29). The remaining two proteins had no support in the literature, and we therefore evaluated the three mammalian orthologs of these genes by whole mount in situ hybridization in developing Xenopus embryos. Strikingly, we found that sec23ip was prominently expressed in migrating neural crest cells (Fig. 4C). We used targeted micro-injection of sec23ip morpholinos to knock down this gene specifically in the neural crest. Unilateral targeting of sec23ip MOs (Fig. 4D) resulted in marked defects in neural crest cell migration patterns specifically on the injected side (Fig. 4E and Fig. S7), thus confirming a role for this gene in neural crest cell development. Notably, SEC231P physically associates with SEC23, a component of the COPII complex that controls ER-to-Golgi trafficking, and mutations in SEC23 underlie Cranio-ventral-sural dysplasia, another congenital human disease related to neural crest development (30). Thus, SEC23IP, identified here on the basis of orthology to plant gravitropism defects, is both a promising candidate gene for Waardenburg syndrome and also provides insights into the emerging link between COPII function and craniofacial development in vertebrates (31). Our success rate of one in two for finding Waardenburg-relevant genes represents a 550-fold improvement over the current annotation rate of ~1 in 1,100 genes. Notably, in spite of the extremely dissimilar associated phenotypes, phenologs can identify functionally coherent gene sets that predate the divergence of plants and animals.

Phenologs Reveal Deeply Homologous Modular Subnetworks. Phenologs imply that although phenotypes diverge, the orthology of the underlying gene networks—and the networks' immediate functional output—is conserved. We might therefore expect genes involved in a given phenolog to represent a coherent biological module, and thus to be highly interconnected in gene networks. Moreover, we might expect that the genes already confirmed to show the signature phenotypes in both organisms (e.g., the intersection labeled by k in Fig. 2A) would be even more highly interconnected than the genes associated with the signature phenotype in only one organism; these latter genes might or might not belong to this subnetwork, as multiple mechanisms might give rise to the phenotype. Evidence in current gene networks of more linkages among the genes in each such intersection would support this
notion of phenologs recapitulating modular subnetworks. We therefore systematically tested all significant phenologs involving yeast and worm genes for the genes’ connectivity in available functional networks (32). We find the network connectivity of genes in phenolog intersections to be significantly higher ($P < 0.0001$; Wilcoxon signed-rank) than the phenolog genes outside of the intersections, which nonetheless show significantly higher network connectivity than random size-matched gene sets ($P < 0.0001$) (Fig. S8). Additional tests confirm that genes in phenologs in phenolog intersections are no more enriched for homologous genes than are phenolog genes outside of the intersections (Fig. S9), ruling out trivial discovery of “deep paralogs.” These observations indicate that phenologs identify evolutionarily conserved subnetworks of genes relevant to particular phenotypes or diseases, yet still predicting as yet undiscovered candidate genes significantly better than random expectation. Phenologs may inherently identify conserved systems such as those found by aligning protein interaction networks across species (33). Indeed, direct searches for evolutionarily conserved subnetworks composed largely of genes with similar phenotypes might provide an alternate strategy for phenolo discovery.

Conclusions

Phenologs reflect the innate modularity of gene systems and identify adaptive reuse of those systems, creating a rich framework for comparing mutational phenotypes with potential for finding nonobvious models of human disease. Cross-validated tests indicate phenologs show utility for roughly one-third to one-half of tested human general diseases (Fig. S2). Given a phenolog for a human disease, any approach for associating more genes with the model organism trait (e.g., a genetic screen) will suggest additional new human disease gene candidates. In addition to associating unique genes with modeled diseases, such models can provide mechanistic understanding in simplified model organisms for understanding aspects of more complex human diseases.

Phenologs thus bridge the molecular definitions of homologous and orthologous genes (34) with classic definitions of homologous structures from Owen (35) and Darwin (36), deriving from considerations both of gene heredity and of the traits/structures affected by perturbing the genes, concepts falling within the general field of evolutionary developmental biology (evo-devo) (37). The conserved gene systems revealed by the plant-vertebrate phenologs illustrate a more ancient homology than the “deep homology” of metazoans that is currently a focus of evolutionary developmental biology (26). These phenologs should bring attention to the potentially extensive molecular toolkit within the last common eukaryotic ancestor, which facilitated the parallel evolution of complex multicellular organisms. This comparative approach provides a simultaneously deeper and wider view of the evolution of life and points the way to a greater synthesis of evolutionary developmental biology and modern medicine.

Materials and Methods

Detailed information regarding the collection of phenotypes, identification of nonredundant phenotype sets, calculation of orthologs, calculation of phenologs, and tests of subnetwork modularity can be found in the SI Materials and Methods. Animal care met the principles and guidelines of the Institute for Laboratory Animal Research “Guide for Care and Use of Laboratory Animals” and the University of Texas at Austin Institutional Animal Care and Use Committee. Details of Xenopus laevis embryo manipulations and tube formation assays can be found in the SI Materials and Methods.

ACKNOWLEDGMENTS. We thank Greg Weiss for Fig. 1A, and Andrew Fraser, Andrew Ellington, and Jim Bull for critical discussion. This work was supported by grants from the Texas Advanced Research Program, the National Science Foundation, the National Institutes of Health, and the Welch Foundation (F-1515), and a Packard Fellowship (to E.M.M.), a National Science Foundation graduate fellowship (to J.O.W.), and grants from the National Institute of General Medical Sciences and The March of Dimes (to J.B.W.). J.B.W. is an Early Career Scientist of the Howard Hughes Medical Institute. T.J.P. is supported by a Postdoctoral Research Initiative Seed Grant from the Texas Institute for Drug and Diagnostic Development.